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I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202 by "Express Mail Post Office to Addressee" service.

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07278

PATENT TRADEMARK OFFICE

Docket No.: 3402/1H684US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Michael MARMOR; James de JAGER; Robert DAY;  
Michael J. BOEGLIN; Neeraj KUMAR

Serial No.: 09/955,925

Art Unit: 2661

Confirmation No.: 9395

Filed: September 18, 2001 Examiner:

For: PEER-TO-PEER COMMERCE SYSTEM

U.S. Patent and Trademark Office  
PATENT APPLICATION  
P.O. Box 2327  
Arlington, VA 22202

Sir:

EXPRESS MAIL CERTIFICATE

Date 12/18/01 Label No. 072767720186US

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COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney

#3

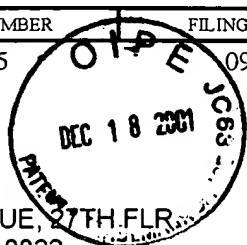


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/955,925	09/18/2001	Michael Marmor	3402/1H684US1

07278  
DARBY & DARBY  
805 THIRD AVENUE, 27TH FLR  
NEW YORK, NY 10022



CONFIRMATION NO. 9395

## FORMALITIES LETTER



\*OC000000006936845\*

Date Mailed: 10/19/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$54.
  - \$54 for 6 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 474.

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/18/2001 14222001 00000059 09555925

01 101.00  
02 101.00  
03 101.00

370.00 03  
54.00 02  
55.00 03

2. Payment in the amount of \$ 529, (\$ 424 filing; \$ 40 recording; \$ 65 late)  
in the form of:  
[X] check  
[] deposit account no. 04-0100  
[] credit card (see attached form)  
(See attached **Fee Computation Sheet**)
3. [X] Assignment for recording to: Smart Peer LLC
4. [X] Applicant claims small entity status.  
**PARTIAL REFUND** of all fees paid within last 2 months is **REQUESTED**.
5. A copy of Notice to File Missing Parts of Application.

Priority is claimed for this application, corresponding application/s having been filed as follows:

Country:  
Number:  
Date:

The priority documents [] are enclosed  
[] will follow.  
[] were filed in a previous application.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: December 18, 2001



Melvin C. Garner  
Reg. No. 26,272  
Attorney for Applicant(s)

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Serial No. 09/955,925

Docket No. 3402/1H684US1

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee . . . . .				\$740.00
Total Claims	26 - 20	- 0 = 6	x \$18.00	\$108.00
Independent Claims	2 - 3	- 0 = 0	x \$84.00	\$0.00
Multiple Dependent Claims		- if so, add	\$280.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00) . . . . .				\$130.00
SUBTOTAL . . . . .				\$978.00
[X] Small Entity REDUCTION (Half of Subtotal) . . . . .				\$489.00
Fee for recordation of assignment (\$40.00) . . . . .				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL . . . . .				\$529.00